

**ASSISTED LIVING FACILITIES**

**BUILDING AND SAFETY ISSUES**

Presented by  
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**Licensees**

- Assisted Living Homes-includes Adult Foster Care Homes 1714
- Assisted Living Centers 224
- Adult Day Health Care 23
- Respite 4
- Total Facilities: 1965\*
- Total Licensed Beds: 28,861

\*As of 01/01/2011

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**Licensing of Assisted Living facilities**

- Assisted Living facilities are divided into three main categories:
  - Assisted Living Centers (ALCs) care for 11 or more residents.
  - Assisted Living Homes (ALHs) care for 10 or fewer residents.
  - Adult Foster Care Homes (AFCs) care for 4 or fewer residents

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### Licensing of Assisted Living facilities (con't)

- Assisted Living facilities can be licensed at one of the following levels of care:
  - Supervisory care (the lowest level)
  - Personal care
  - Directed care (the highest level)
- Each level of care includes the level(s) shown above it – for example, Directed care includes both Personal and Supervisory care.



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### Levels of Care

- Supervisory care = No hands-on care, may need assistance with self-administration of meds
- Personal care = Physical limitations – persons at this level may be completely paralyzed, but still able to make their own decisions
- Directed care = Cognitive deficits – persons at this level cannot recognize danger, summon assistance, express need, or make basic care decisions



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### Levels of Care: Implications for Building/Zoning Officials

- Residents at the Personal and Directed levels of care may be incapable of self-preservation
- The Department will not license a facility unless the local jurisdiction gives approval



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**Twelve Year perspective**

- 1998\* 970 homes, 187 centers total 1157
- 2011 1714 homes, 224 centers total 1938
  
- \* new rules effective 11/01/1998

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**Smoking**

- The Smoke-Free Arizona act applies to all Assisted Living facilities
- Elderly people, especially those with limited mobility or cognitive deficits, are more at risk for burns
- Smoking cessation programs are available free of charge: [www.ashline.org](http://www.ashline.org)

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**Top 10 Most Cited Deficiencies-Centers**

10. A.R.S. §36-406.1.d. Vaccinations
9. R9-10-707.A.1-11. Employee orientation
8. R9-10-706.A.2.c. CPR/First Aid
7. R9-10-718.10. Poisonous or toxic materials not stored in a locked area
6. R9-10-703.B.3. Resident TB tests

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**Top 10 Most Cited Deficiencies-  
Centers**

5. R9-10-716.A.2. Facility not hazard-free
4. R9-10-711.A.5.b. Service Plan requirements
3. R9-10-711.A.6.a. Service plan not signed
2. R9-10-723.E. Medication Requirements
1. R9-10-706.A.1. Employee TB tests

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**Top 10 Most Cited Deficiencies-  
Homes**

10. R9-10-716.A.1. Facility not in compliance with local codes/ordinances
9. R9-10-718.10. Poisonous or toxic materials not stored in a locked area
8. R9-10-723.B.1. Requirements for bedbound residents
7. A.R.S. §36-406.1.d. Vaccinations
6. R9-10-711.A.6.a. Service plan not signed

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**Top 10 (Homes) continued**

5. R9-10-713.B.6.b. Medication records
4. R9-10-713.B.3.a. Medications not stored in a locked area
3. R9-10-706.A.1. Employee TB tests
2. R9-10-703.B.3. Resident TB tests
1. R9-10-723.E. Medication Requirements

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### Plan of Correction

An acceptable Plan of Correction (POC) MUST include at a minimum:

1. How the deficiency is to be corrected, on both a temporary and a permanent basis.
2. The date the correction is/will be implemented.
3. The name, title, and/or position of the person responsible for the correction.
4. A description of the monitoring system used to prevent the deficiency from recurring.
5. The licensee's or manager's signature, and the date that person approved the POC.

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### Online Resources

- <http://www.azdhs.gov/als/hcb/index.htm>
- Frequently Asked Questions
- License renewal application (to print)
- Survey tool
- Caregiver/manager training programs
- Facility Directory
- Informal Dispute Resolution (IDR) Process
- On-line complaint system
- On-line renewal application system (NOW ACTIVE!)

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### Online Resources

- [www.azcarecheck.com](http://www.azcarecheck.com)
- Searchable database of providers
- Statements of Deficiencies
  - Includes complaints and compliance surveys
  - Includes dates deficiencies corrected
- Enforcement actions

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### Online Resources

- The Arizona Board of Examiners of Nursing Care Institution Administrators and Assisted Living Facility Managers.
- <http://www.nciabd.state.az.us/>
- Approved continuing education programs
- Directory of certified managers
- Enforcement actions
- Links to rules and statutes
- Application forms: Initial, renewal, duplicate

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### QUESTIONS

Phoenix main: 602-364-2639  
Fax: 602-364-4766

Tucson main: 520-628-6965  
Fax: 520-628-6991

Flagstaff: 928 226-0156  
Fax: 928 774- 2830

- [www.azdhs.gov/als/hcb](http://www.azdhs.gov/als/hcb)

Thank You

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